

## Audit and Governance Committee Decision Summary

Meeting: 27 January 2023  
 Agenda/Minutes: [Audit and Governance Committee](#)  
 Chair: John Pye (Chair and Independent Person)

Summary of decisions taken at this meeting.

Item	Topic	Decision [ <i>None of the decisions below are key decisions</i> ]
1	Apologies and Declarations of Interests	Apologies were received from Councillors Harvey, Brown, Ali and Wilson.  No disclosable interests were declared.
2	Chair's Announcements	On Tuesday 24 <sup>th</sup> January the Combined Authority was notified by the Department for Levelling Up, Housing and Communities (DLUHC) of a Best Value Notice. Audit and Governance members were sent an email by the Chief Executive on Tuesday evening to notify them of this. The notice clarified more formally DLUHC concerns, already shared with the Combined Authority following the Ernst and Young Auditor's letter issued to the Combined Authority on 1st June 2022.  The Member Behaviour – Lessons Learned Review that was due to come to the meeting had been withdrawn. The Monitoring Officer felt that it would not have been an effective report as there were items that could not be currently disclosed. The Chair agreed that this report would come instead to a later meeting, after the code of conduct investigation had been concluded
3	Minutes of the Previous Meeting and Action Notes	<b>RESOLVED:</b>  a) That the minutes of the meetings held on 2 December 2022 and 13 January 2023 be approved.

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		b) That the Action Log be noted.
4.	Improvement Framework	<p><u>RESOLVED</u> That the committee:</p> <p>a) Recommend that future IIB Highlight reports to the Audit &amp; Governance Committee mark progress against the concerns outlined in the External Auditor's letter of 1 June 2022.</p> <p>b) Note the Improvement Framework Report that was presented to the Combined Authority Board on 25 January 2023.</p>
5.	Internal Audit Progress Report	<p><u>RESOLVED:</u></p> <p>a) That the Internal Audit progress report against the audit plans for 2021/22 and 2022/23 as provided by the Combined Authority's internal auditors, RSM, be noted.</p>
6.	External Audit Plan	<p><u>RESOLVED:</u> That the committee:</p> <p>a) Recommend that the terms of reference of the new Shareholder Board be reviewed to ensure that they sufficiently consider the risk highlighted in the External Auditor's Plan in regard to the recoverability of long-term investment with subsidiary entities.</p> <p>b) Note the initial audit plan for the financial year 2021/22 as provided by the Combined Authority's external auditors, Ernst and Young LLP.</p>

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7.	Climate Action Plan	<p><u>RESOLVED:</u></p> <p>That the committee:</p> <p>a) Note the revised Terms of Reference for the Climate Working Group.</p>
8.	Review of Corporate Risk Register and Risk Register Improvements	<p><u>RESOLVED:</u></p> <p>That the committee:</p> <p>a) Note the Risk Management process update following the recent Internal Audit Report of Risk Management.</p> <p>b) Note the full Risk Register and accompanying narrative.</p>
9.	Code of Conduct, Social Media and Monitoring Officer Protocols	<p><u>RESOLVED</u></p> <p>That the committee:</p> <p>a) Note the Code of Conduct complaint information.</p> <p>b) Support and recommend to the Board the Social Media Protocol.</p> <p>c) Support and recommend to the Board the Monitoring Officer Protocol.</p>
10.	Information Governance Update	This item was withdrawn due to time constraints and would be rescheduled.
11.	Revisions to the CPCA Constitution	<p><u>RESOLVED:</u></p> <p>That the committee:</p>

Item	Topic	Decision <i>[None of the decisions below are key decisions]</i>
		a) Supports and recommends the revisions to the Constitution detailed in this report to the next meeting of the Combined Authority Board.
12.	Work Programme	<p><u>RESOLVED:</u></p> <p>That the committee:</p> <p>a) Notes the current work programme for the Audit and Governance Committee for the 2022-23 municipal year.</p>
13.	Date and Time of Next Meetings	<p>Friday 24 March 2023 10:00am</p> <p>Venue: Pathfinder House, Huntingdon</p>